

私隱政策

以下個人資料私隱聲明乃根據香港《個人資料(私隱)條例》(「條例」)而提供，是關於不時向人工智能開放式基金型公司(「本公司」)或其聯屬公司提供的個人資料或資訊(「資料」)。本公司致力遵守條例的規定，嚴格保護任何向我們提供個人資料之人士的私隱。就此，本公司指示屬下職員遵守有關保安及保密的嚴格內部標準。

A. 收集

1. 申請人須不時就不同事項(例如開立賬戶或繼續運作、向申請人提供服務或遵從法律或監管或其他當局所頒布任何指引)而向人工智能開放式基金型公司提供資料。
2. 可收集資料的種類包括(但不限於)姓名及地址、職業、聯絡資料、出生日期及國家、國籍、身份證、社會保障或全國保險號碼、稅務居留國家、稅務參考號碼或護照號碼及財政狀況詳情。
3. 若未能提供該等資料，有可能會導致人工智能開放式基金型公司無法為申請人開立賬戶或繼續向申請人提供服務，又或無法遵從法律或監管或其他當局所頒布的任何指引及守則。
4. 在延續申請人與人工智能開放式基金型公司的關係的日常業務過程中亦會向申請人收集資料，例如在申請人開立賬戶、簽發支票、轉賬、進行交易、出席研討會/活動、參與網上活動，或與人工智能開放式基金型公司進行一般口頭或書面通訊。
5. 人工智能開放式基金型公司可能從網站上收集額外資料，該等資料包括但不限於：

5.1 瀏覽者個人電腦的訊息處理機位址、提出查詢所在國家、曾經瀏覽之網頁、瀏覽網頁之時間及瀏覽器之類型；

5.2 瀏覽者的若干個人資料(例如瀏覽者之姓名、年齡、性別、職業等)、聯絡資料、客戶識別資料、電子加密處理保安密碼、有關所持由人工智能開放式基金型公司發售或分銷之基金的資料、瀏覽者是否希望收到資訊及希望收到資訊的類型。

除任何保安密碼外，於任何人工智能開放式基金型公司網站所收集之個人資料皆不會被電子加密處理。

人工智能開放式基金型公司或人工智能開放式基金型公司的第三者合作夥伴可能會利用軟件工具或Cookies以收集瀏覽者瀏覽網站的活動。被收集的資訊可能會經由人工智能開放式基金型公司或代理人或任何第三者服務供應商處理。請瀏覽有關人工智能開放式基金型公司Cookies政策的詳情。

B. 目的及用途

1. 使用資料之目的將視乎申請人與人工智能開放式基金型公司的關係性質而異。人工智能開放式基金型公司擬就下列任何或全部目的而使用其資料(包括申請人所提供的任何第三者資料)：

1.1 辦理於本公司開立賬戶的申請(包括確認及查證申請人身份)；

1.2 申請人賬戶的日常運作及所獲提供服務；

1.3 任何有關人工智能開放式基金型公司所提供產品與服務的行政管理的目的；

1.4 為客戶研究、設計及推售金融、投資服務或相關產品；

1.5 符合適用於在香港或其他地區的人工智能開放式基金型公司的任何法律或規例、守則、指引或人工智能開放式基金型公司內部合規政策下的披露、申報及合規規定（包括但不限於稅務申報）；

1.6 以下服務及產品的推廣與直接促銷（惟申請人有權反對該項用途）：

1.6.1 財務策劃及投資、財富管理及相關服務及產品；

1.6.2 金融、投資及教育研討會及論壇；

1.6.3 人工智能開放式基金型公司及／或任何人工智能開放式基金型公司實體的業務之全部或任何重大部分的任何受讓人所提供的其他金融服務及產品；

1.7 使：

1.7.1 任何人工智能開放式基金型公司實際或建議的承讓人；

1.7.2 任何人工智能開放式基金型公司對於申請人及／或「綜合理財賬戶」的權利或義務的實際或建議的參與者、次參與者或受讓人；及／或

1.7.3 任何人工智能開放式基金型公司對於申請人及／或「綜合理財賬戶」的義務的更替方，能夠評核，執行及／或完成任何出讓、參與、次參與、轉讓、約務更替或其他類似安排的有關交易；及

1.8 任何其他與上述直接相關的目的。

2. 申請人有權反對將其資料用作第1.6段所載直接促銷目的。申請人若提出要求，人工智能開放式基金型公司將停止使用其資料作直接促銷用途，並不會就此收取費用。

C. 直接促銷：

1. 人工智能開放式基金型公司擬將所收集的資料用作直接促銷用途，但除非已收到閣下的同意（當中包括表明不反對所擬定之用途），否則不會使用資料作直接促銷用途。

2. 此外，人工智能開放式基金型公司擬將所收集的資料提供予任何人工智能開放式基金型公司實體的業務之全部或任何重大部分的所有或任何受讓人（「受讓人」）、服務供應商及相關第三者（包括顧問）（連同受讓人統稱「有關各方」）供其用作直接促銷用途。然而，除非已收到閣下的同意（當中包括表明不反對所擬定之提供），否則不會提供資料予有關各方作直接促銷用途。

3. 人工智能開放式基金型公司有意使用所收集的資料（包括但不限於閣下的姓名及聯絡資料（例如電話號碼、電郵地址及／或通訊地址）），或將之提供予所有或任何有關各方，以供其透過各種通訊方式（例如直郵函件、電郵、電話、短訊及小冊子）作以下直接促銷用途：

3.1 人工智能開放式基金型公司及／或受讓人的財務策劃及投資、財富管理及相關服務及產品，及人工智能開放式基金型公司及／或受讓人所提供的金融、投資及教育研討會及論壇或其他金融服務及產品；及

3.2 人工智能開放式基金型公司及／或受讓人的新產品及服務推售及其他推廣優惠。

D. 保留及查閱

1. 所收集的資料可於適用法律規定期間或為達致上述目的所需期間予以保留。

2. 人工智能開放式基金型公司所持有關於申請人的資料將會保密，人工智能開放式基金型公司將會採取一切合理措施，以確保資料保管妥善，而不會在未獲授權情況下被查閱、遺失、披

露及銷毀。不論在香港境內或境外，本公司可為上文「目的及用途」及「直接促銷」兩節所載目的而向以下各方提供該等資訊：

2.1 本公司的最終控股公司、其附屬機構、代表辦事處、聯營公司及／或聯屬公司；

2.2 本公司的服務供應商（包括受託人、投資經理、過戶登記處、轉讓代理人、保管人、行政服務代理人及人工智能開放式基金型公司所發售每種產品的核數師）；

2.3 任何就人工智能開放式基金型公司的業務運作而向人工智能開放式基金型公司提供行政管理、研究、設計、推售、數碼或電訊、結算及交收或其他服務的代理人、承辦商、分辦商、顧問、保險公司或第三者服務供應商；

2.4 本公司僱員、高級職員及董事；

2.5 任何根據法律或規例或因監管機構要求而有權索取資訊的一方（包括但不限於任何地方或外國政府、稅務或監管當局或司法管轄權法院）；

2.6 人工智能開放式基金型公司為上文「目的及用途」一節第1.6段所載目的，就外判或其他服務而聘用的聯屬或非聯屬供應商及其他外間服務供應商（包括但不限於印刷公司、郵遞公司、數碼或電訊公司、資訊科技公司、資料儲存及基建公司、雲端服務供應商、資料處理公司及儲存公司）；及

2.7 任何人工智能開放式基金型公司實際或建議的承讓人、任何人工智能開放式基金型公司對於申請人及／或「綜合理財賬戶」的權利或義務的實際或建議的參與者、次參與者或受讓人，及／或任何人工智能開放式基金型公司對於申請人及／或「綜合理財賬戶」的義務的更替方。

根據本公司的政策，只有需要使用該等個人資料，並已獲指示妥善處理該等資料及遵守保密原則的人士，方可查閱該等資料。網上交易客戶所用的保安密碼會經過電子加密處理。

3. 請注意，轉移至香港境外的資料可能會受較低水平的保障及／或可能不受香港任何有關銀行資料保密制度、客戶資料保密制度或資料保障制度的法律之保障。

4. 根據條例，申請人有權：

4.1 查核人工智能開放式基金型公司是否持有關於申請人的資料，以及查閱該等資料；

4.2 要求人工智能開放式基金型公司更正任何有關申請人而不準確的資料；

4.3 確定人工智能開放式基金型公司對資料的政策和慣例，並獲知會人工智能開放式基金型公司所持有資料的種類；及

4.4 反對將資料用於直接促銷，經申請人向人工智能開放式基金型公司提出反對後，人工智能開放式基金型公司不得將其資料用於直接促銷。

根據上述條例的條款，本公司有權就處理任何查閱資料的要求徵收合理費用。

本聲明一概不會限制您在上述條例下所享有的權利。

Privacy Statement

The following Personal Data Privacy Statement is provided to you pursuant to the Personal Data (Privacy) Ordinance of Hong Kong ("Ordinance") in connection with your dealings with, and provision of personal data or information ("Data") to, AI OFC or its affiliates from time to time. AI OFC is fully committed to protecting the privacy interests of individuals who provide us with information about themselves and to comply with the requirements of the Ordinance. In doing so, AI OFC instructs its staff to comply with the strict internal standards of security and confidentiality.

A. Collection:

1. From time to time, it is necessary for you to supply AI OFC with Data in connection with various matters such as account opening or continuations, provision of services to you or compliance with any laws or guidelines issued by regulatory or other authorities.

2. The kinds of Data that may be collected includes, but is not limited to, name and address, occupation, contact details, date and country of birth, nationality, identity card, social security or national insurance numbers, country of tax residence, tax reference numbers or passport numbers, and details of financial status.

3. Failure to supply such Data may result in AI OFC being unable to open an account or continue to provide services to you or unable to comply with any laws or regulations or guidelines and codes issued by regulatory or other authorities.

4. It is also the case that Data is collected from you in the ordinary course of the continuation of your relationship with AI OFC, for example, when you open an account, write cheques, transfer funds, effect transactions, attend seminar/events, participate in online activities or generally communicate verbally or in writing with AI OFC.

5. Additional Data may also be collected at AI OFC's websites which include, but is not limited to:

5.1 the IP address of the visitor's personal computer, the country from which the inquiry is made, the pages visited, the time at which a visit is made and the browser type;

5.2 certain personal details of the visitor (these may for instance include his/her name, age, sex, profession), client identification details, electronically encrypted security passcodes, information regarding holdings in any funds offered or distributed by AI OFC. Whether the visitor wishes to receive information and, if so, what kind of information.

Apart from any security passcode, the Data collected at any AI OFC website is not electronically encrypted.

We or our third-party partners may use software tools or 'cookies' to gather information about site visitors' browsing activities. The information collected may be processed by us, our agents or any other third parties which provide services to AI OFC. Please visit our Cookies Policy for further details.

B. Purpose and use:

1. The purposes for which Data may be used will vary depending on the nature of your relationship with AI OFC. AI OFC intends to use your Data (including any third party Data provided by you) for any or all of the following purposes:

1.1 the processing of an application for an account with AI OFC including confirming and verifying your identity;

1.2 the daily operation of your account and the services to be provided to you;

1.3 any purpose related to the administration of the products and services offered by AI OFC;

1.4 researching, designing and launching financial, investment services or related products for clients;

1.5 meeting the disclosure, reporting and compliance requirements (including but not limited to tax reporting) under any laws or regulations, codes, guidelines or internal AI OFC compliance policies applicable to AI OFC in Hong Kong or elsewhere;

1.6 promoting and direct marketing of the following services and products subject to your right to object to such use:

1.6.1 financial planning and investment, wealth management and related services and products;

1.6.2 financial, investment and educational seminars and forums;

1.6.3 other financial services and products offered by AI OFC and/or any transferee of a whole or any substantial part of business of any AI OFC entity;

1.7 enabling:

1.7.1 any actual or proposed assignee(s) of AI OFC;

1.7.2 any actual or proposed participant(s), subparticipant(s) or transferee(s) of AI OFC's rights or obligations with respect to you and/or the MasterAccount; and/or

1.7.3 any person(s) in whose favour AI OFC's obligations with respect to you and/or the MasterAccount are, or are to be, novated, to evaluate, execute and/or complete the transaction(s) intended to be the subject of any assignment, participation, subparticipation, transfer, novation or other similar arrangement; and

1.8 any other purpose directly related to any of the above.

2. You have the right to object to the use of your Data for direct marketing purposes as set out in paragraph 1.6 above. AI OFC will cease to use your Data for direct marketing purposes without charge if you so request.

C. Direct Marketing:

1. AI OFC intends to use the Data collected for direct marketing but may not so use the Data unless your consent (which includes an indication of no objection to the intended use) is received.

2. Furthermore, AI OFC intends to provide the Data collected to all or any of the transferees of a whole or any substantial part of business of any AI OFC entity (the “Transferees”), service providers and related third parties (including consultants) (together with the Transferees, the “Parties”) for use by them in direct marketing. However, the Data may not be so provided unless your consent (which includes an indication of no objection to the intended provision) is received.

3. AI OFC would like to use the Data collected, including but not limited to your name and contact details (such as telephone number, email address and/or correspondence address), or provide the same to all or any of the Parties for the Parties’ use, for the following direct marketing purposes through various communication means such as direct-mail, email, telephone, SMS and brochures:

3.1 AI OFC’s and/or the Transferees’ financial planning and investment, wealth management and related services and products, financial, investment and educational seminars and forums or other financial services and products offered by AI OFC and/or the Transferees; and

3.2 AI OFC’s and/or the Transferees’ new product and service launch and other promotional offers.

D. Retention and Access:

1. The Data collected may be maintained for such period of time required under applicable law and as otherwise needed to fulfil the purposes set out above.

2. Data held by AI OFC relating to you will be kept confidential and AI OFC will take all reasonable measures to ensure that Data is kept secure against unauthorised access, loss, disclosure and destruction. AI OFC may provide such information to the following parties, whether inside or outside of Hong Kong, for the purposes set out in the “Purpose and Use” and “Direct Marketing” sections above:

2.1 the ultimate holding company of AI OFC, its subsidiaries, representative offices, associated companies and/or affiliates;

2.2 the service providers of AI OFC including the trustee, investment manager, registrar, transfer agent, the custodian, administrative service agent, and the auditor of each product offered by AI OFC;

2.3 any agent, contractor, subcontractor, consultant, insurer, or third party service provider who provides administrative, research, design, launch, digital or telecommunication, clearing and settlement or other services to AI OFC in connection with the operation of its business;

2.4 the employees, officers, directors of AI OFC;

2.5 any party (including but not limited to, any local or foreign governmental, tax or regulatory Authority, or court of competent jurisdiction) entitled thereto by law or regulation, or in response to a regulatory request;

2.6 affiliated or unaffiliated providers of outsourced or other services, and other external service providers of AI OFC (including but not limited to printing houses, mailing houses, digital or telecommunication companies, information technology companies, data storage

and infrastructure companies, cloud providers, data processing companies and storage companies) that AI OFC engages for the purposes set out in paragraph 1.6 in the “Purpose and Use” section above; and

2.7 any actual or proposed assignee(s) of AI OFC, any actual or proposed participant(s), subparticipant(s) or transferee(s) of AI OFC’s rights or obligations with respect to you and/or the MasterAccount, and/or any person(s) in whose favour AI OFC’s obligations with respect to you and/or the MasterAccount are or are to be novated.

AI OFC’s policy is to restrict access to Data concerning individuals to those persons who have a need to use that Data and who have been instructed to handle such information properly and observe confidentiality. Security passcodes used by clients with on-line dealing privileges are electronically encrypted.

3. Please note that the Data transferred outside of Hong Kong may be subject a lower level of protection and/or cease to be protected by any relevant banking secrecy, client confidentiality or data protection laws of Hong Kong.

4. Under the Ordinance, you have the right to:

4.1 check whether AI OFC holds Data about you, and access to such Data;

4.2 require AI OFC to correct any Data relating to you which is inaccurate;

4.3 ascertain AI OFC’s policies and practices in relation to data and to be informed of the kinds of Data held by AI OFC; and

4.4 object to the use of your Data for direct marketing purposes and AI OFC shall not use your Data for direct marketing purposes after you communicate your objection to AI OFC.

In accordance with the terms of the Ordinance, AI OFC has the right to charge a reasonable fee for the process of any data request.

Nothing in this statement shall limit your rights under the Ordinance.

Cookies 政策

本網站利用Cookies於閣下的電腦儲存資料。本政策說明人工智能開放式基金型公司或其聯屬公司(統稱「我們」)如何利用Cookies, 並可不時被修訂而不另行通知。使用本網站即表示閣下同意根據本政策的條款於您的電腦中設置Cookies。如閣下不欲接受本網站中的Cookies, 請設定停用Cookies或避免使用本網站。請注意, 如在瀏覽器設定中停用Cookies, 本網站可能無法正常運作。

1. 甚麼是 Cookies?

Cookies是一種純文本資料串, 網站會把此資料串傳送到閣下電腦硬碟上的瀏覽器Cookies的數據檔案中, 以便網站於閣下再次瀏覽時得悉閣下的身分, 並記住閣下的若干資料。該等資料可包括閣下曾瀏覽的頁面、在選單中所作的選擇、於表格中填寫的任何特定資料, 以及瀏覽時間及日期。

2. Cookies 類型

Cookies分為兩大類:

臨時Cookies: 此Cookies屬暫時性質, 會在瀏覽器環節結束時(即閣下離開網站之時)失效。透過臨時Cookies, 閣下在單一瀏覽器環節瀏覽不同頁面時, 網站可得悉閣下的身分, 讓閣下更有效使用網站。

持久Cookies: 有別於臨時Cookies, 持久Cookies於各瀏覽器環節之間被儲存於閣下的設備中, 直至失效或被刪除為止, 因此網站能在閣下重返時得悉閣下的身分, 記住閣下的喜好, 從而提供貼心的服務。

除臨時Cookies及持久Cookies外, 閣下選擇瀏覽的網站(如本網站)亦可能設置其他Cookies, 以便為我們或第三者提供資料。

3. 我們如何利用Cookies

我們利用臨時Cookies:

協助進行保安工作並於閣下使用本網站瀏覽不同頁面時查證閣下的資料, 使閣下無須每次瀏覽新頁面均重新輸入資料。

我們利用持久Cookies:

於閣下重返網站時得悉閣下獨一無二的用戶身分, 因此閣下無須在瀏覽我們不同頁面或使用我們不同服務時反覆輸入資料; 記住閣下於使用本網站時的個人設定, 例如所在地區; 及收集並編製匿名綜合資料作統計及分析用途, 助我們了解用戶使用本網站的情況並改善本網站的架構。

許多Cookies均旨在為閣下提供最佳的網站使用體驗。舉例而言, 我們利用Cookies提升閣下使用本網站時獲得的用戶體驗, 例如有Cookies能得悉閣下的瀏覽器是否支援特定技術規格。此舉的其中一項優點, 是於閣下要求下載大容量檔案時有助提高網頁加載速度。

除了利用Cookies向我們發送資料外, 我們亦會藉Cookies收集資料並發送予第三者。Google Analytics便是其中一例。我們利用Google Analytics協助收集並編製資料, 如網站的訪客人數、訪客所瀏覽的上一個網站及其瀏覽過的頁面。利用該等資料, 我們會:

- 根據閣下於本網站的瀏覽紀錄, 使本網站更切合閣下的興趣;
- 於本網站或第三者網站度身訂做閣下最可能感興趣的網上廣告或優惠;
- 評估我們網上營銷及廣告活動的成效。

請瀏覽<http://www.google.com/analytics/learn/privacy.html>及<https://tools.google.com/dlpage/gaoptout/>，分別查看Google的隱私權政策概覽及如何停用Google Analytics。本網站允許設置此類Cookies，或閣下使用本網站提供的鏈結到訪其他網站，則該等網站的營運商將根據其Cookies政策使用Cookies，可能有別於本網站的政策。

正如第一方Cookies，閣下可通過瀏覽器設置阻截第三方Cookies。

我們部分Cookies可能會收集和存儲閣下的個人資料，例如姓名或電郵地址。我們致力尊重和保護閣下的私隱，並確保收集的所有個人資料均按照我們的私隱政策保存和處理。本網站的頁腳提供此政策的鏈結。

4. 拒絕本網站的Cookies

大部分瀏覽者的初始設定為接受Cookies。然而，閣下可隨意透過更改互聯網軟件瀏覽設定，停用Cookies。閣下亦可能於瀏覽器設定中進行設置，從而接受特定Cookies或於每次有新Cookies即將儲存於閣下電腦時予以通知，以便閣下決定接受或拒絕有關Cookies。要管理Cookies的使用，閣下有各種資源可以利用，例如瀏覽器的「幫助」欄。閣下亦可通過管理瀏覽器的「附加設置」或瀏覽其製造商的網站，以禁用或刪除使用類似Cookies技術的儲存數據，例如Local Shared Objects或Flash Cookies等。鑑於我們的Cookies讓閣下得以使用本網站部分功能，因此建議閣下保留啟用Cookies的狀態。一旦停用Cookies，閣下能體驗的功能或會減少，甚至完全無法使用本網站。

Cookies Policy

This website uses cookies to store information on your computer. This policy explains how AI OFC or its affiliates (together "we" or "us") use cookies and may be amended, from time to time, without notice. By using this website you agree to the placement of cookies on your computer in accordance with the terms of this policy. If you do not wish to accept cookies from this site please either disable cookies or refrain from using this site. Please note that by disabling cookies in your browser settings this site may not function correctly.

1. What are Cookies?

A cookie is a text-only string of information that a website transfers to the cookie file of the browser on your computer's hard disk so that the website can recognise you when you revisit and remember certain information about you. This can include which pages you have visited, choices you have made from menus, any specific information you have entered into forms and the time and date of your visit.

2. Types of Cookies

There are two main types of cookies:

Session cookies: these are temporary cookies that expire at the end of a browser session; that is, when you leave the site. Session cookies allow the website to recognise you as you navigate between pages during a single browser session and allow you to use the website most efficiently.

Persistent cookies: in contrast to session cookies, persistent cookies are stored on your equipment between browsing sessions until expiry or deletion. They therefore enable the website to recognise you on your return, remember your preferences, and tailor services to you.

In addition to session cookies and persistent cookies, there may be other cookies which are set by the website which you have chosen to visit, such as this website, in order to provide us or third parties with information.

3. Our use of Cookies

We use session cookies to:

help us maintain security and verify your details whilst you use the website as you navigate from page to page, which enables you to avoid having to re-enter your details each time you enter a new page.

We use persistent cookies to:

help us recognise you as a unique user when you return to our website so that you do not have to input your details multiple times as you move between our pages or services;
remember how you have customised your use of this site, such as the region that you are in;
and

collect and compile anonymous, aggregated information for statistical and evaluation purposes to help us understand how users use the website and help us improve the structure of our website.

Many cookies are designed to give you optimal usage of the web. For example, we use cookies to enable you to improve your user experience when using our website, e.g. a cookie which recognises if your browser supports specific technology features. This helps, for example, in enabling web pages to be loaded more quickly when you request the download of a large file.

In addition to cookies which send information to us, we also use cookies which collect information and send it to third parties. An example of this is Google Analytics. We use Google Analytics to help collect and compile information like the number of visitors to the site, where visitors have come to the site from and the pages they visited. We use this information to:

make this website more relevant to your interests based on your past visits to this website;
tailor our online advertisements or offers on our or third party websites to those which are most likely to be of interest to you;
evaluate the effectiveness of our online marketing and advertising campaigns.

Please visit [here](#) for an overview of the privacy policy of Google and click [here](#) for information on how to opt out of the Google Analytics. Where this site allows such cookies to be set or you access other websites from this site using the links provided, the operators of these websites will use cookies in accordance with their own cookies policy, which may differ from ours. As with first party cookies, you are able to block third party cookies through your browser settings.

Some of our cookies may collect and store your personal information, such as your name or email address. We are committed to respecting and protecting your privacy and will ensure that all personal information collected by us is kept and treated in accordance with our privacy policy. A link to this policy is available within the footer of this website.

4. Refusing Cookies on this Site

Most browsers are initially set to accept cookies. However, you have the ability to disable cookies if you wish, generally through changing your internet software browsing settings. It may also be possible to configure your browser settings to enable acceptance of specific cookies or to notify you each time a new cookie is about to be stored on your computer enabling you to decide whether to accept or reject the cookie. To manage your use of cookies there are various resources available to you, for example the "Help" section on your browser may assist you. You can also disable or delete the stored data used by technology similar to cookies, such as Local Shared Objects or Flash cookies, by managing your browser's "add-on settings" or visiting the website of its manufacturer. As our cookies allow you to access some of our website's features we recommend that you leave cookies enabled. Otherwise, if cookies are disabled, it may mean that you experience reduced functionality or will be prevented from using this site altogether.